

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
5 July 2001 (05.07.2001)

PCT

(10) International Publication Number
WO 01/48630 A2

(51) International Patent Classification⁷: G06F 17/21

(21) International Application Number: PCT/CA00/01580

(22) International Filing Date:
27 December 2000 (27.12.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/471,135 23 December 1999 (23.12.1999) US

(71) Applicant (for all designated States except US): MOBILEQ CANADA INC. [CA/CA]; 175 Bloor Street East, 12th Floor, Toronto, Ontario M4W 3R8 (CA).

(72) Inventors; and

(75) Inventors/Applicants (for US only): CANARAN, Vishvas [CA/CA]; 25 The Esplanade, Suite 3015, Toronto, Ontario M5E 1W4 (CA). PERLA, Jesse [CA/CA]; 38

Elm Street, Suite 3108, Toronto, Ontario M5G 2K5 (CA). WALL, Blair [CA/CA]; 611-7 Carleton Street, Toronto, Ontario M5B 2M3 (CA). BAIK, Dale [CA/CA]; 10 Marcelline Crescent, Willowdale, Ontario M2K 2V7 (CA). SHAH, Kartik [CA/CA]; 116 Olde Towne Place, Thornhill, Ontario L3T 4K9 (CA).

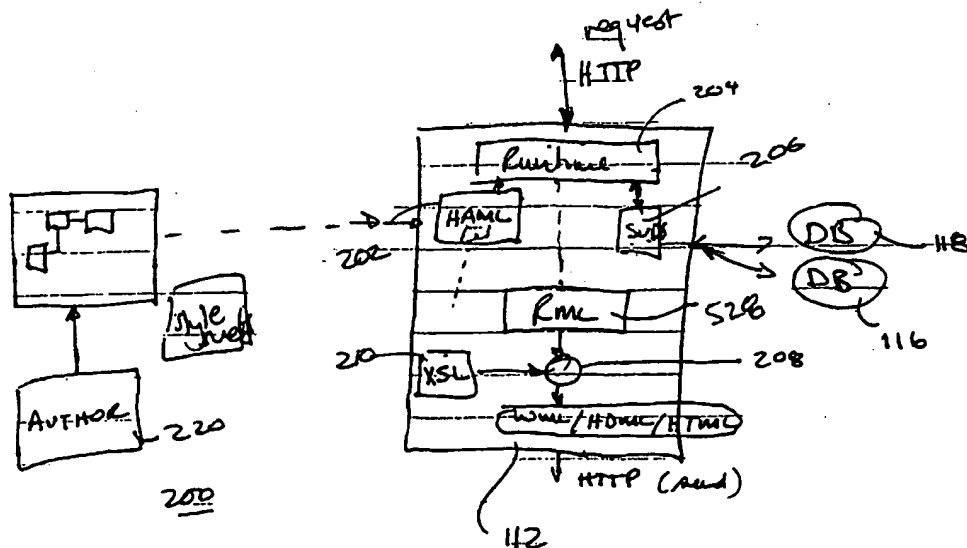
(74) Agent: PILLAY, Kevin; Fasken Martineau DuMoulin LLP, Suite 4200, Toronto Dominion Bank Tower, Box 20, Toronto-Dominion Centre, Toronto, Ontario M5K 1N6 (CA).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,

[Continued on next page]

(54) Title: CLIENT-SERVER DATA COMMUNICATION SYSTEM AND METHOD FOR DATA TRANSFER BETWEEN A SERVER AND DIFFERENT CLIENTS



(57) Abstract: A method of deploying a web-based application on a network comprising the steps of: generating a data structure representing a flow and associated forms for the application; associating with the application a plurality of style documents, ones of the documents being tailored to different client characteristics; providing to the server a processor for processing the application; in response to a request for a form from a client to the server, the processor accessing a set of data sources for the requested form defined in the application and aggregating the data into a single generated data document; the processor executing a style processor for processing the generated data document and a selected style sheet corresponding to the client device characteristics to generate the form for the client; and forwarding the form processed at the server to the client.



IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- *Without international search report and to be republished upon receipt of that report.*

CLIENT-SERVER DATA COMMUNICATION SYSTEM AND METHOD FOR DATA TRANSFER BETWEEN A SERVER AND DIFFERENT CLIENTS

This invention relates to the field of data communications and in particular to a
5 system and method for providing client independent data transfer between a server
and different clients over a network and in which client differences such as small
screens, limited keyboard, low bandwidth connection, data formats and small
memory are easily accommodated for by the server.

10 BACKGROUND OF THE INVENTION

The rapid growth of the Internet and more specifically the World Wide Web
(WWW or Web) as a network for the delivery of applications and content, has
resulted in software developers quickly beginning to shift their focus towards
15 making the web browser a key tool to access information. This revolution has
taken several stages.

Most information is available as static content, composed of a variety of media,
such as text, images, audio, and video, that is described using hypertext markup
20 language (HTML). While the WWW revolution has placed a wealth of
information at the fingertips of countless people, and while HTML is a very good
way of describing static documents, HTML provides no mechanism for
interacting with Web pages. At present, a Web browser uses the Hypertext
Transport Protocol (HTTP) to request an HTML file from a Web server for the
25 rapid and efficient delivery of HTML documents. A Web browser is a client
process (also called a "client") running on a local or client computer that enables a
user to view HTML documents. An example of a Web browser is the Internet
Explorer. A Web server is a server process (also called a "server") running on a
remote or server computer that uses HTTP to serve up HTML documents and any
30 associated files and scripts when requested by a client. To accomplish this, the
user gives the Web browser a Uniform Resource Locator (URL) for an object on
the Internet, for example, a data file containing information of interest. The

document is referred to as a "Web page," and the information contained in the Web page is called content. Web pages often refer to other Web pages using "hypertext link" or "hyperlinks" that include words or phrases representing the other pages in a form that gives the browser the URL for the corresponding Web page when a user selects a hyperlink.

Dynamic information retrieval such as selected information retrieved from databases commonly implemented through the use of the Common Gateway Interface (CGI). CGI is a common way for interfacing external applications with HTTP or Web servers in which a client, executing a CGI script embedded in an HTML page, sends a request to the Web server to execute a CGI program. The CGI script transfers environment variables comprising a query string and a path information parameter. The query string is a string of text to be searched for in the Web server's database. For example, in a specific implementation of CGI the path information parameter is used to indicate the location of a file to be searched. While CGI allows specifically requested information to be accessed from databases across the Internet, CGI has very limited capabilities. Queries performed by CGI programs may amount to string matching in a data file, and the results returned to the Web browser are simply preformatted text or an HTML document listing the results. In general, CGI can run an arbitrary executable or script that can return an arbitrary document given the querystring or post parameters. In general the key technology innovation was the ability for web pages to execute applications through the Common Gateway Interface (CGI) or through dynamic link libraries (e.g. the Internet Server Application Programming Interface (ISAPI) from Microsoft or Netscape's equivalent or Java servlets).

An alternative to using separate CGI scripts to define content is a template-based HTML that actually embeds a request for the dynamic data within the HTML file itself. When a specific page is requested, a pre-processor scans the file for proprietary tags that are then translated into final HTML based on the request. The final HTML is then passed back to the server and on to the browser for the user to view on their computer terminal. While the examples given have been explained in the context of HTML. Templates may be created with any Standard

Generalized Markup Language (SGML) based markup language, such as Handheld Device Markup language (HDML). In fact templates can be created with any markup language or text, in fact it is not limited to SGML based languages but rather to MIME types HDML, is a markup language designed and developed by AT&T and Unwired Planet, Inc. to allow handheld devices, such as phones, access to the resources of the Internet. The specifics of the language are disclosed in "HDML Language Reference, Version 1.0," Unwired Planet, Inc., Jul. 1996, and herein incorporated by reference. Templates with the markup in it and some scripting to execute the data and the display of the pages are separated to make generating an application a process of using an HTML template with script embedded to generate the resulting page. Examples of this technology are Active Server Pages (ASP) from Microsoft, PHP from the Apache organization or Java Server Pages (JSP) from Sun. Often these have been developed in a three-tier physical and/or logical implementation in an attempt to separate the display from the data logic.

As the HTTP based technology has matured, these have tended to move towards the clear separation of the HTML template from the underlying data. Recently there have been several other key advancements.

A subset and simplification of SGML, the eXtensible Markup Language (XML) has evolved as a standard meta-data format in order to simplify the exchange of data. The eXtensible Stylesheet Language (XSL) has evolved as the standard way to define stylesheets that accept XML as input; and Non-HTML browsers accessing data over HTTP are becoming common and in the next few years will become more common than browsers on desktop computers.

One example is the Handheld Device Markup Language (HDML) from Phone.com and its evolution the Wireless Markup Language (WML) from the WAP Forum. The wireless networks around the world are converging to the Internet to support this trend. Universal Resource Locators (URL) now point to anything on the Internet and can be used by devices ranging from Mobile Phones

to Integrated Voice Response (IVR) servers using VoiceXML or VoXML
(www.voxml.com).

5 The presence of the Web has become international to the point that although
North America is the leading market, it is not the only market to target
applications and services. Furthermore there is a movement by international
companies towards external hosting of applications that may access the data in a
distributed manner across the Internet. This requires remote execution of data.
10 The implementation of Unicode as part of XML and XSL standard is one of the
reasons for allowing applications to be made international. That is why
developers are able to internationalize applications with separate stylesheets.

These above advancements in the market have strained the previous technology to
a point where developers are incapable of rapidly delivering applications that can
15 target the diverse browsers and devices as well as the target languages.

While computer terminals and other devices that are configured to receive HTTP
and HTML files may utilize the above methods to access and view the Internet
data, the specific display standards for non-pc based browser devices such as
20 PDA's, telephones, cell phones, television set-top boxes and similar devices, as
well as the display capabilities for these devices allow only a limited view of
HTTP transferred HTML files. In addition, these device display characteristics do
not permit a user to take advantage of the hypertext features imbedded in most
HTML data files.

25 At present there are two solutions to this problem. The first is the automatic
transformation of pages to the appropriate device. This has proven to be
ineffective in producing user interfaces since they need to be built to take
advantage of the devices capabilities and to work within its limitations.

30 The second is the use of an XSL stylesheet with a XML URL source for the data.
However, this solution does not take into account the need to target multiple

devices and multiple languages. Also, these solutions have focused on bringing in XML data from a single XML URL source whereas in reality an application will need to bring in multiple sources and access non-URL data.

5 Clearly, as more content publishers and commercial interests deliver rich data in XML, the need for presentation technology increases in both scale and functionality. XSL meets the more complex, structural formatting demands that XML document authors have. On the other hand XSL Transformations known as XSLT makes it possible for one XML
10 document to be transformed into another according to an XSL Style sheet. More generally however, XSLT can turn XML into anything textual, regardless of how well-formed it is, for HTML. As part of the document transformation, XSLT uses Xpath (XML Path language) to address parts of an XML document that an author wishes to transform. XPath is also
15 used by another XML technology, XPointer, to specify locations in an XML document.

 However XSLT has also not addressed the problem of allowing the development applications that are easily decomposed, portable and easily distributed, while still
20 efficiently serving a multitude of different devices. Applications are generally comprised of a plurality of forms, which are connected to achieve a desired flow. It is desirable to allow developers the ability to reuse parts of application, however with the current markup language technologies it is difficult to achieve this without recreating parts if not substantially all of the application. This problem is
25 further compounded with the need to accommodate different device. At present stylesheets are still tightly linked to the flow of an application.

 Thus there is a need to for a tool easily develop and serve applications for these multiple devices.

30 Accordingly the present invention seeks to mitigate at least some of the above disadvantages.

5 SUMMARY OF THE INVENTION

An advantage of the present invention is derived from the observation that data is separated from a stylesheet and from program flow. The separation of the flow and form meta-data is a requirement to break out the data from the stylesheets.

10 Otherwise, the stylesheet must maintain maps of where it receives its data from as well as the relationships to different forms. The invention solves this problem by the creation of a schema, which provides all of the flow and meta information in an external file.

15 In accordance with this invention there is provided a method of deploying a web-based application on a network comprising the steps of:

- (a) generating a data structure representing a set of forms and associated flow for the application;
- (b) associating with the application a plurality of style documents, ones of the
20 documents being tailored to different client characteristics;
- (c) providing to the server a processor for processing the application;
- (d) in response to a request for a form from a client to the server, the processor accessing a set of data sources for the requested form defined in the application and aggregating the data into a single generated data document,
25 the processor executing a style processor for processing the generated data document and a selected style sheet corresponding to the client characteristics to generated the requested form for the client; and
- (e) forwarding the form processed at the server to the client.

BRIEF DESCRIPTION OF DRAWINGS

Embodiments of the invention will now be described by way of example only, with reference to the accompanying drawings in which:

5

Figure 1 is a block diagram depicting a wireless network system;

Figure 2 is a block diagram depicting the major components in a system according to an embodiment of the present invention;

10 Figure 3 is a schematic diagram of the application element structure in a Hosted Markup Language application;

Figure 4 is a schematic representation of the form element structure in the Hosted Markup Language;

Figure 5 is a schematic representation showing the structure of the Runtime Markup Language;

15 Figure 6 is a block diagram of the high level components for processing of HML to generate RML;

Figure 7 is a block diagram showing the flow for the execution of components described in Figure 6;

Figure 8(a) is a schematic diagram of a Bank account query application;

20 Figures 8(b)-(d) is a schematic representation of an HML file for the Bank account query application;

Figures 9(a), (b) and (c) are mark-up language representations of a sample generated RML for the Bank account query application;

25 Figures 10(a) and (b) are mark-up language representations of an XSL style sheet for the Bank account query application; and

Figure 11 is a mark-up language representation of a WML document returned to a device in the Bank account query application.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

30

In the following description, the same reference numerals will be used in the drawings to refer to the same or like parts.

Referring to figure 1, a block diagram of a data communication system in which the present invention may be used is shown generally by numeral 100. The present invention is described in the context of the Internet, wherein client devices

5 102 make requests, through a portal or gateway 104, over the Internet 106 to web servers 108. Web servers 108 are capable of communicating via HTTP, HTTP/S or similar and providing information formatted with HTML codes the client 102 which may be capable of interpreting such codes or may rely on a translation by the portal 106. In this embodiment, HTML is described as one example and the

10 gateway may or may not translate. In fact, a gateway is not necessary for the majority of connecting devices. In a particular instance, the client 102 may be a cell phone device 110 having a screen display 112, the portal 106 may be a cell phone network 114 that routes calls and data transfers from the telephone 110 to a PSTN or to other cellular phone networks. The cell phone network 114 is also

15 capable of routing data transfers between the telephone 110 and the Internet 106. The communication between the cell phone network 114 and the Internet 106 is via the HTTP protocol, or WAP which is more likely for a phone network, the gateways typically translate the call to HTTP, which is well known in the art. Furthermore, it is assumed that the telephone 110 and the cell phone network

20 implement the appropriate protocols for a web browser or similar that can retrieve data over the internet and translate the data file for display on the display 112. The system 100 also includes at least one host server or web server, which is a remote computer system 112 which is accessible over the internet to the cell phone network and the telephone 102.

25

The web server 108 includes data files written in a mark up language, which may be specifically formatted for the screen display 104 of the telephone 102. This language could comprise a standard text based language similar to HTML or could include WML, HDML, or other specifically designed mark up language or

30 simply text to send to a phone.

The web server 108 may also include an application which is run on the server and is accessible by the device 110 specifying a URL or similar of the application. At present, the system 100 operates by the device 110 transmitting a request to the cell phone network 114. The cell phone network 114 translates the request and generates a corresponding HTTP formatted message, which includes the requested URL. The HTTP request message is transmitted to the web server 108 where a data file is located. The data file must be formatted to be compatible to the display capabilities of the telephone 102. The web server calls a process, which invokes an XSL stylesheet interpreter with the appropriate HML and the stylesheet to create the formatted markup of the appropriate MIME type. In some cases both the XML input and the XSL stylesheet may be provided to the client browser to interpret if the client has an XSL built.

By way of background, Extensible Markup Language, abbreviated XML, describes a class of data objects called dt-xml-doc XML documents and partially describes the behavior of computer programs which process them. XML is an application profile or restricted form of SGML, the Standard Generalized Markup Language. By construction, XML documents are conforming SGML documents.

XML documents are made up of storage units called *entities*, which contain either parsed or unparsed data. Parsed data is made up of *characters* some of which form *character data* dt-chardata, and some of which form *markup*. Markup encodes a description of the document's storage layout and logical structure. XML provides a mechanism to impose constraints on the storage layout and logical structure.

A software module called an XML processor is used to read XML documents and provide access to their content and structure. It is assumed that an XML processor is doing its work on behalf of another module, called the application, which resides on the web server 108.

Each XML document has both a logical and a physical structure. Physically, the document is composed of the units called *entities*. An entity may refer to other

entities to control their inclusion in the document. A document begins in a "root" or *document entity*. Logically, the document is composed of declarations, elements, comments, character references, and processing instructions, all of which are indicated in the document by explicit markup. The logical and physical structures must nest properly.

Each XML Document contains one or more elements, the boundaries of which are either delimited by start-tags and end-tags. Each element has a type, identified by name, sometimes called its "generic identifier" (GI), and may have a set of attribute specifications. Each attribute specification has a name and a value.

Style Sheets can be associated with an XML Document by using a processing instruction whose target is `xml-stylesheet`. This processing instruction follows the behavior of the HTML 4.. The `xml-stylesheet` processing instruction is parsed in the same way as a start-tag, with the exception that entities other than predefined entities must not be referenced.

XSL is a language for expressing stylesheets. A stylesheet contains a set of template rules. A template rule has two parts: a pattern, which is matched against nodes in the source tree and a template, which can be instantiated to form part of the result tree. This allows a stylesheet to be applicable to a wide class of documents that have similar source tree structures.

Each stylesheet describes rules for presenting a class of XML source documents. An XSL *stylesheet processor* accepts a document or data in XML and an XSL stylesheet and produces the presentation of that XML source content as intended by the stylesheet. There are two sub-processes to this presentation process: first, constructing a result tree from the XML source tree and second, interpreting the result tree to produce a formatted presentation on a display, on paper, in speech or onto other media. The first (sub-)process is called *tree transformation* and the second (sub-)process is called *formatting*. The process of formatting is performed by the *formatter*.

Key Attributes:

"name" uniquely identifies the directory input. It will be the name attribute from one of the Directory elements in the application 308.

Children Elements:

- 5 Contains multiple Item elements 534, which in turn can have its own item elements and attribute elements 535. This defines an arbitrarily deep hierarchy of directory/profiling type data.

Data Element 533

- 10 The Data element is a container for the data from a data connection. The key is that it provides a way to store arbitrary XML document fragments within the RML.

Key Attributes:

"name" uniquely identifies the data. It will be the component id from one of the application 309 or form components 411.

- 15 Children elements:

It can contain any hierarchy and elements that is consistent with the schema defined in 305 for its components, connections, schema.

- 20 Referring to figure 6, there is shown generally at numeral 600, a schematic diagram of the subcomponents of the runtime processor 204. The runtime processor 204 includes transport components 619, an executive 620, transformation components 621, and form components 623. The operation of the runtime processor 204 to generate the RML is now described by referring to figure 7. In the following description the term "set" refer to the process of setting
25 or populating pieces of the RML document. The process is described by the sequence of blocks 738 to 748. Block 738 is the entry into the processing.

- 30 At step 738, the transport component receives a call from the requesting device generated through some sort of URL. The transport component 619 processes the incoming HTTP request and extracts amongst others the following values, which are used to populate the appropriate sections of the RML structure 500. The values it extracts are:

Multiple Language elements 417. The language element only has a single attribute "language";

Component Element 411

Defines an external set of data. Since this is underneath the form 302 it will only
5 be passed into stylesheets on this form, otherwise it is identical to the application level component element 309;

Key Attributes:

"connectionid" points to a connection element 303

10 As described earlier the runtime processor processes the HML and creates and populates a resulting RML data structure. Referring to figure 5, the data structure or schema of the RML according to an embodiment of the invention is shown generally at numeral 500. The RML schema is comprised of:

RML element 528 – the root element;

15 Children Elements:

Session element 529, Appconstants 530, and Actions defining flow 532

Multiple variable elements 531 underneath the variables collection. These just have a name attribute and the text of which contains the value.

Multiple directory element 533 containing data from directory connections

20 308

Multiple data elements 537 containing data from data connections 303.

Session Element 529 contains information from the user request.

Key Attributes:

"appid" points to the id attribute of the Application element 307;

25 "fromformid" points to the targetid attribute of a form element 302;

"actionid" is the name of the action 412 defined on the form 402 and is used for flow;

"deviceid" stores the name of the device 110 and links to a value in a device lookup table 553 described later with reference to figure 7;

30 Directory Element 533 is a container for the data from a directory connection. Primary difference between this and the Data element 537 is that the directory connections always have a consistent hierarchical schema.

password" provides the external password;

The text of the authenticate element can contain CData or any other information that is used by the data server 206 to authenticate. Examples include certificates, etc.

5 Form Element 302 which is shown in detail in figure 4 generally by numeral 400

This element defines a form and is contained under the forms collection of the application.

Key Attributes:

10 "targetid" which is a unique identifier for the form

"language" which describes which variable to extract the user language from.

Children Elements:

Multiple Stylesheet 415 elements

15 Multiple action 412, input variables 413, and output variables 414 which define the flow and application reuse.

A Data element 422 contained within an events element 420 which contains multiple Component elements 411;

Stylesheet Element 415

20 Defines a potentially matched stylesheet for a form

Key Attributes:

"URL" defines an external link to the actual XSL stylesheet file 210 or instead of having an external XSL file 210, the text of the stylesheet element can contain an embedded CData containing the
25 stylesheet contents;

"contenttype" determines the MIME type of the generated XSL stylesheet file. Examples include "text/xml", "text/plain", and "text/html".

Children Elements:

30 Multiple Device elements 416. The device only has a single attribute "name";

"lang" which describes which variable to extract the user language from.

Children Elements:

5 Startform 307, containing an id attribute which points to a Form's targetid within the application;

forms collection 302 having Multiple Form elements;

Multiple Connection elements 303;

A Data element contained within an events element which contains multiple component elements 309;

10 Multiple Directory elements 308 containing information to connect to directory type data. Contain a name attribute.

Connection Element 303

Defines a connection to an outside source of data.

Key Attributes:

15 "connectionid" which is a unique identifier for the connection;

"type" which determines how to get the data;

"server" which gives the IP address to access the server;

Children Elements:

Authenticate 304

20 Schema element 305 containing a URL attribute to access the schema information;

Multiple connectionproperty elements 306 providing name/value pairs as inputs to the component defined by the "type" attribute.

Component Element 311

25 Defines an external set of data. Since this is underneath the application it will be passed to all forms.

Key Attributes:

"connectionid" points to a connection element 303;

30 Authenticate Element 304 defines how to authenticate against an external data source;

Key Attributes:

"user" provides the username for authentication;

In general, the runtime 204 is called by a device in a manner as described with reference to figure 1, which connects to the server 112 using HTTP. Based on the URL that is requested and the type of device making the request, the runtime 204 determines an appropriate form to use. The runtime calls a data server component 206 to obtain data for the URL from one or more databases 118 and 116. The data server 206 retrieves the appropriate data into XML, and forwards this to the runtime which in turn adds runtime information and directory information to the data XML, the data structure that is built or populated by the HML processor is termed RML, the structure of which will be described with reference to figure 5 later.. The runtime calls the XSL processor 208 with the RML and an appropriate style sheet 210 for the form after the runtime 204 calls the XSL processor 208, the XSL processor generates a file that depends on how the XSL stylesheet was written. In particular a stylesheet is written for a particular MIME content-type.(notice that in the description of the RML stylesheet we have a content-type attribute) For example if it is HTML with embedded XSL instructions then the processor will generate HTML, if it is a simple text file with embedded XSL instructions then simple text will be generated. Thus if the requesting device has a specific mark-up, the runtime 204 returns the appropriate mark-up file. However, if the device does not have the specific mark-up, the run time transforms the generated WML to the appropriate markup and sends it back to the device.

The detailed description of the operation and interconnection of the various components will be described in greater detail in the following paragraphs.

Referring to figure 3, there is shown at numeral 300 a defined schema or data structure for the elements contained in an HML application. All of the elements are described as XML based schemas including attributes and elements. The first element of the HML 300 is an

Application Element 301 which is a root element having Key Attributes:

“id” which is a unique identifier for the application;

As described above, the prior art technique is tedious and not easily adaptable to different devices and applications and a plurality of user languages.. One embodiment of the present invention is thus based on the observation that a solution to the above problem is a separation of data from style sheets which in turn is separated from program flow. Although it has been recognized that the characteristics of a display needs to be separated from the data, in practice current solutions have failed to understand that the separation of the flow and form metadata is necessary before data can be separated from the style sheets. In other words, at present, style sheets must maintain maps of its data sources and its relationships to different forms. Accordingly, the present invention solves this problem by providing a hosted mark up language (HML) for providing flow and meta information in an external file.

Thus turning to figure 2, there is shown at numeral 200, the general components of a system, according to an embodiment of the present invention, for providing a unified data transfer between different devices (clients) and a server over an HTTP based network. The system 200 includes a hosted mark up language (HML) file or application 202 written in accordance with the present invention and residing on the web server 108, a plurality of style sheets 210 and a run-time program or processor 204 for processing the HML application 202 in response to an HTTP message corresponding to a request received from the client device 110. The HML application 202 includes a plurality of forms and pointers to external data sources. The processor 204 includes a data server 206 for retrieving data from one or more databases 216, 218 in accordance with the instructions in the HML, an XSL processor 208, and the plurality of XSL style sheets 210.

A further embodiment of the system 200 includes a visual authoring tool 220 for providing a development framework for visually connecting forms defining a look, feel and flow of an application and for generating from the visual layout the HML application 202.

create 6 this class and passes in the complete RML tree 528, the authentication information 304, and all of the connection properties 306. The implementation of the created component uses all of these values to get data from the source defined by its components.

5

The implementation of these components from data server components 626 is intended to be as general as possible and the only assumption to the functionality of this plugin is that it takes values as defined in Step 745 and returns back XML data that can be validated by the schema 305 for the connection. The connectionproperty elements 306 are used internally to the component to define where and how the execution occurs. Example implementations include:

10

The "MQProvider.COM" requires a connection property 306 called "progid". It uses the Microsoft COM library to create the object defined in "progid". It then calls a defined interface on the component and passes in the RML root 528 as an input. It directly takes the output of the component as XML to pass to the next step.

15

The "MQProvider.URL" implementation might require a connection property 306 called "path". It uses the "server" attribute of the connection 303 and this path to construct a complete URL. An example might be: "http://myserver/mypage.xml". It then uses internet functions to access this page over the web. The only assumption is that the page returns data in XML which it directly passes on to the next step.

20

In Step 746 for each of the component "connectionid" attributes, the data server component 626 creates a data element 537 in the RML 500 and sets the "name" attribute is equal to the "connectionid" attribute. It then puts the XML data created in Step 745 as sub-elements underneath this data node.

25

In Step 747 the Form components 623 uses the value in the "language" attribute of the application 301 to find the name of the variable to find the user's preferred language in. It does a lookup in the variables 531 and places the value into the "language" attribute of the session element 529. The rest of step 747 attempts to

30

component shown in figure 6, based on a match with "id" attribute of the Application element 301.

5 In Step 741 the Application 622 uses the "fromformid" and the "actionid" of the Session element 529 to find the appropriate form object to create. It does this by looking up the form 302 within its application 301 and matching the "targetid" of the form to the "fromformid". It then looks at the action elements 412 within the particular form 410 to find the action whose "actionid" matches the "actionid" of the Session 529. From this action it can find the "targetid" of the form element
10 302 within the application 301. It uses this form identifier to create the appropriate form component 623, shown in figure 6.

In Step 742 the Form component 623 traverses the sub-elements of the Form 410 to set to Action 532 of the RML structure 500.
15

In Step 743 the form component 623 uses the directory connection information for the application 301 defined in 308 to call the appropriate directory component 624, shown in figure 6, and populate the directory RML contained in 533. The details of executing the directory components are described in a separate patent
20 application.

In Step 744 the Form 623 creates a SOAP based data server components 626 with information to create components to generate arbitrary XML data. The data server components 626 are called for each component in the application level
25 component 309 and the form level components 11. It passes "connectionid" attribute for the data server component 626.

In Step 745 the data server component 626 uses the "connectionid" attribute passed in to create and execute the appropriate components. The "type" attribute of the
30 connection 303 is the name of the class which is the handler for this particular type of connection. Examples include "MQProvider.COM", "MQProvider.Java", "MQProvider.URL", and "MQProvider.ODBC". The data server component

but presents real examples of connecting user agent strings for the UP Emulator's HDML browser and Microsoft's Internet Explorer HTML browser respectively. The user agent string is matched to rows in the lookup table attempting to do a UASubstring search of column two within the incoming
5 HTTP_USER_AGENT column one. When a match is found, the matched device name from column three is placed into the "device" attribute of the session element 529. If no match is found then a default text "HTMLDefault" is placed into the "device" attribute of the session element 529.

10 At Step 739 which is similar to Step 738 the transport component 619 extracts variables from the HTTP headers and the query string/post/cookies. However, instead of filling in the Session element 529 it fills in the Variable elements underneath the variables element 531 including:

15 All variables on the query string, post, or in cookies. For example, "http://myserver/transport.asp?var1=a&var2=b" would place two new input variables 31 with name attributes of "var1" and "var2" and values of "a" and "b".

20 All Custom HTTP Headers. An example of a custom header from a UP.Link gateway from Phone.com is: "HTTP_X_UP_SUBNO" and the value might be: "919799575-146551_up.mytelco.ca". This would add in a input variable 31 with a name attribute of "HTTP_X_UP_SUBNO" and a value of "919799575-146551_up.mytelco.ca".

25 While the described implementation of the Transport 619 relies on data accessible from HTTP it is not necessary. As long as name/value pairs can be extracted from the incoming protocol the transport can fill in the appropriate RML elements. Similar variations include a Transport 619 designed to accept Simple Message Transport Protocol(SMTP) or Wireless Application Protocol(WAP) requests.

30 In Step 740 the Executive 620 is called by the Transport 619. The Executive 620 uses the "appid" attribute of the Session element 529 to create the application

The value of the “_SQAPPID” variable in query string/post/cookie is placed into the session element 529 “appid” attribute;

The value of the “_SQFORMID” variable in query string/post/cookie is placed into the session element 29 “fromformid” attribute;

5 The value of the “_ACTIONID” variable in query string/post/cookie is placed into the session element 29 “actionid” attribute; and

“_SQFORMID” variables on the query string are extracted as values and placed into the session element 29 “fromformid”.

10 The transport component 719 is also responsible for extracting and determining the “device” attribute within the session element 529. The query string may provide the device attribute directly as a variable called “device” which is directly placed into the “device” attribute of the session element 529 of the RML structure. If the device variable is not set, then a

15 look-up table may be used to determine this value by using the “HTTP_User_Agent” header. A look-up table for determining the device variable is shown below in Table I:

Table I

UserAgent	UASubstring	Device	Content
UP.Browser/3.1-UPG1 UP.Link/3.2	UP.Browser	UPBrowser	text/html
Mozilla/4.0 (compatible; MSIE 5.01; Windows NT 5.0)	Mozilla	HTMLDefault	text/html

20 While the UserAgent information is provided in every HTTP request, there is no consistency in the format of the strings between various browsers. Since the system needs to determine the type of the connecting device, a method is necessary to map the value in the string to a name for a device.

25 The lookup table I can be stored directly in the HML file, in a database, or in a registry depending on the platform for implementation. The key is that it is easily editable and flexible. The first column in the table is not stored in the actual table

Referring now to figures 8, 9, 10 and 11, there is shown an application of the present invention to an English language WML enabled phone accessing a bank account query application. The application, which is specified in the HML code shown schematically in figures 8(a), is comprised of a sequence of forms 802 to 5 818. The generated HML is shown in figure 8(b)-(d).

Although the above described with respect to client-server, where client is a mobile device. The invention is equally applicable to a situation where a client does not have a browser such as in a business to business scenario. For example 10 such as is executing an order from a supplier (server) to a customer (client). Both parties may be server computers wherein information is requested by a first server (client) from a second server. The second server (server) retrieves and formats the information for direct storage in the first server's database. The invention is also applicable to situations where the forms are not displayable. In a further 15 embodiment the invention may be used in an Intranet.

Although the invention has been described with reference to certain specific embodiments, various modifications thereof will be apparent to those skilled in the art without departing from the spirit and scope of the invention as outlined in 20 the claims appended hereto.

match the appropriate stylesheet within the form based on the "device" 416 and "language" attributes 417 of the Session element 529. The matching process begins by enumerating the stylesheet elements 415 for the form 410 then the process continues as:

5 Look for an exact match of "language" and "device" attributes in the session 529 to the "name" attributes of the language and device elements 416 and 417 within each stylesheet 415;

If no match is found then it changes the language to "default" and attempts the same matching; and

10 If no match is found then it changes the device to "HTMLDefault" and attempts the same matching. This is guaranteed to have a match.

In Step 748 the Form component 623 takes the stylesheet element 415 returned from the previous step and uses the URL attribute or the text within the element to
15 get an actual XSL file. It then calls the XSL interpreter 208 with this file and the complete RML 528 as the input. The specific XSL interpreter does not need to be defined since all available interpreters generate some sort of file which represents the file to be sent back to the user.

20 In Step 749 the Executive 620 decides if a transformation component 621 is required. It does this by looking at the "contenttype" (column four of table I) of the appropriate "device" (column three of Table I) and comparing it to the "contenttype" of the stylesheet 415. If the values are the same then no transformation is required. If they are different then it uses an internal table to
25 determine which transformation component 621 to call. After calling the transformation the resulting form will definitely have the contenttype (column four of Table I) expected by the device (column one of Table I).

In Step 50 the Transport component 619 returns back the generated form to the
30 device 110 while setting the "contenttype" of the returned file to be consistent with device column of Table I.

7. A system for deploying a web-based application on a network comprising:
- (a) at a server, a processor for processing said application, said application comprising a data structure representing a sequence of forms and an associated flow for the application;
 - (b) a plurality of style documents associated with the application and ones of said documents being tailored to ones of a plurality of client characteristics;
 - (c) at said server, a process for processing said application in response to a request for displayable form from a client to the server, the processor accessing a set of data sources for the requested form defined in the application and aggregating the data into a single generated data document;
 - (d) a style processor for processing the generated data document and a selected style sheet corresponding to the client characteristics to generate the requested form for the client; and
 - (e) a transmission processor for forwarding the form processed at the server to the client.
8. A system as defined in claim 7, said generated data document being an XML document.
9. A system as defined in claim 7, said style sheet being an XSL style sheet and said style processor is an XSL processor.
10. A system as defined in claim 7, said style documents being contained within said application.
11. A system as defined in claim 7, said application including a pointer to said style documents.
12. A system as defined in claim 7, said application including:

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE
PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

- 5 1. A method of deploying a web-based application on a network comprising the steps of:
 - (a) generating a data structure representing a flow and associated forms for the application;
 - (b) associating with the application a plurality of style documents, ones of
10 said documents being tailored to characteristics of different clients;
 - (c) providing to said server a processor for processing said application;
 - (d) in response to a request for a form from a client to the server, the processor accessing a set of data sources for the requested form defined in the application and aggregating the data into a single generated data
15 document, the processor executing a style processor for processing the generated data document and a selected style document corresponding to the client characteristics to generate the requested form; and
 - (e) forwarding the form processed at the server to the client.
- 20 2. A method as defined in claim 1, said generated data document being an XML document.
3. A method as defined in claim 1, said style sheet is an XSL style sheet and said style processor is an XSL processor.
- 25 4. A method as defined in claim 1, said form being a displayable form.
5. A method as defined in claim 1, said client characteristics including a character display size of said client.
- 30 6. A method as defined in claim 1, said client including a web server.

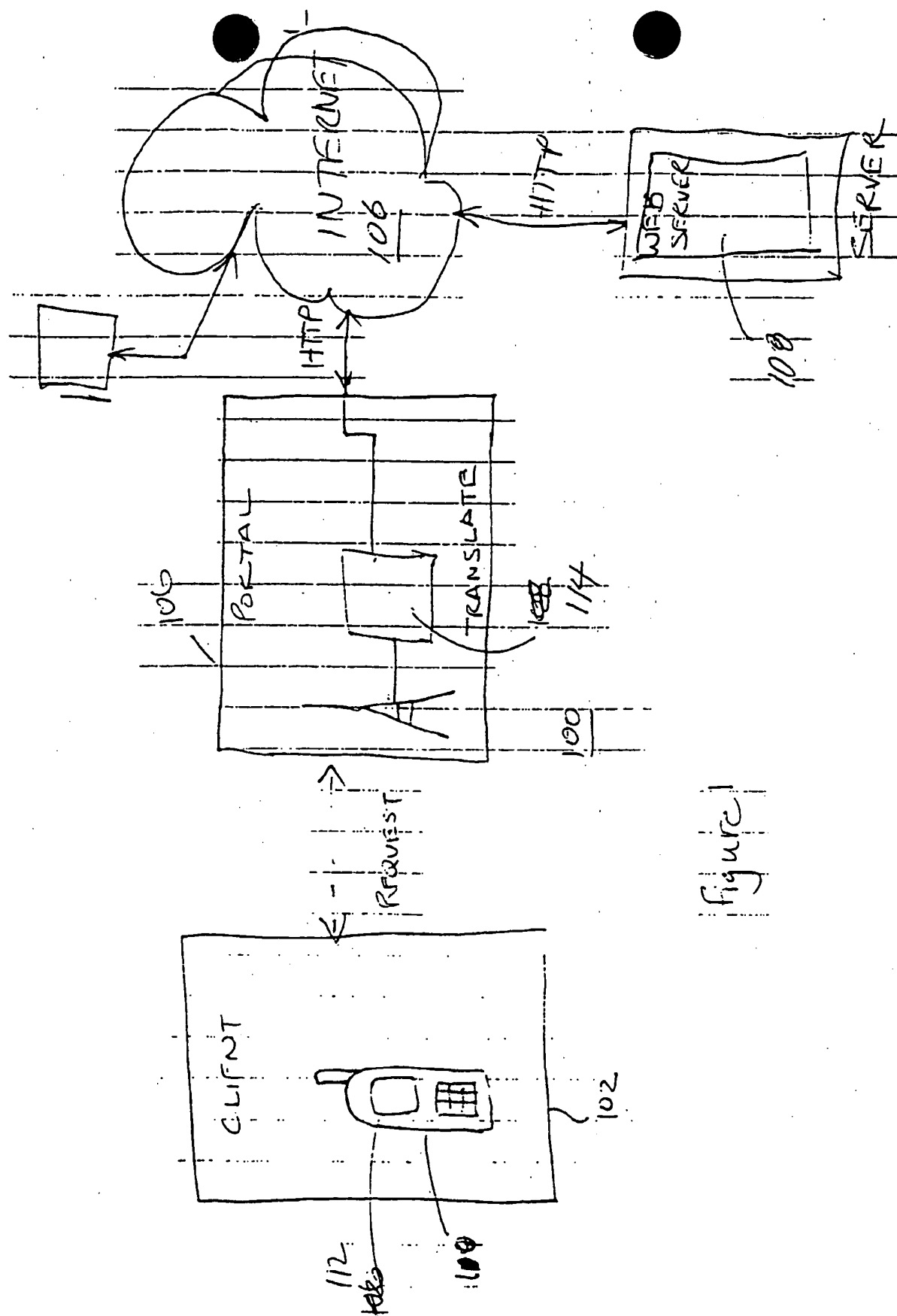


Figure 1

- (a) root element for describing the structure and flow of a single application;
- (b) a form element describing a logical screen that is presented to a user on one of a plurality of clients;
- 5 (c) a style sheet element defining a collection of style sheets for ones of said forms for rendering said form to a selected mark up; and
- (d) a connection element for defining a set of components to receive the data from a plurality of data sources.

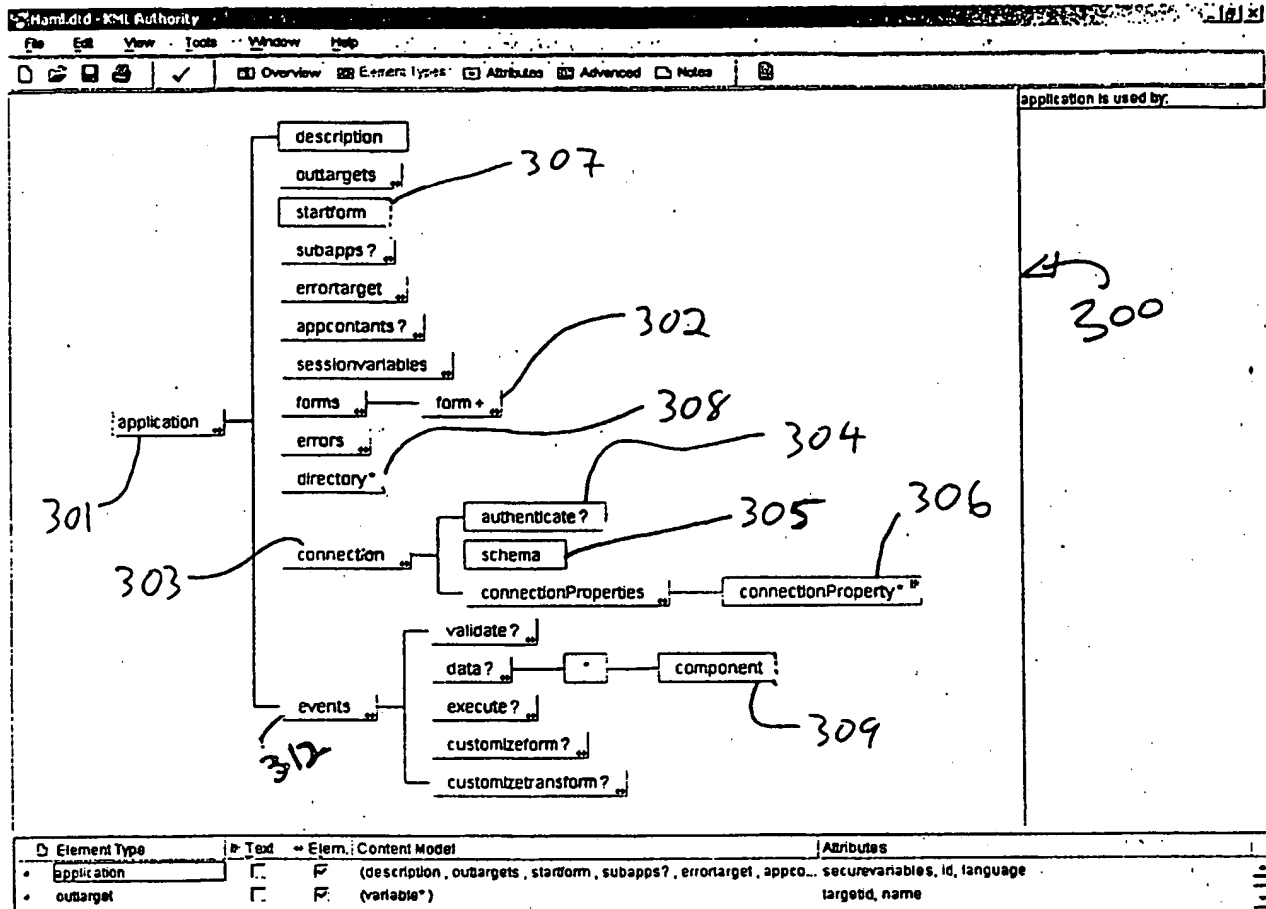


Figure 3

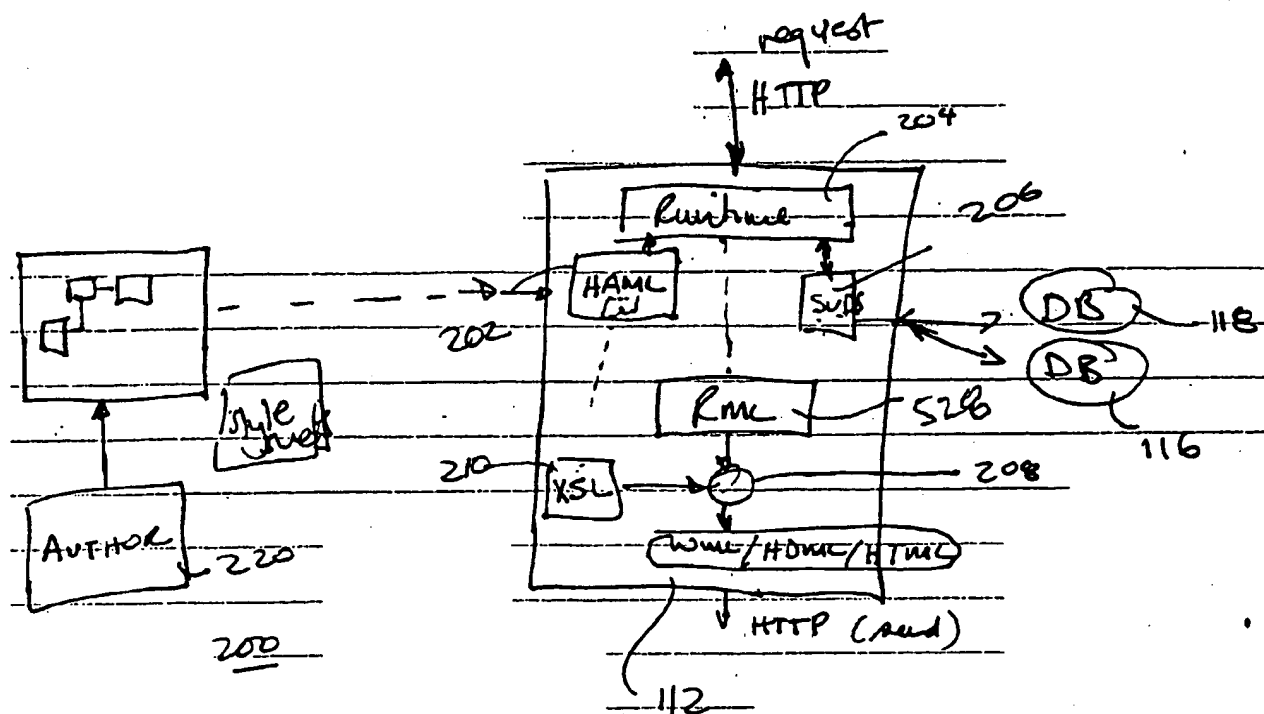


Figure 2

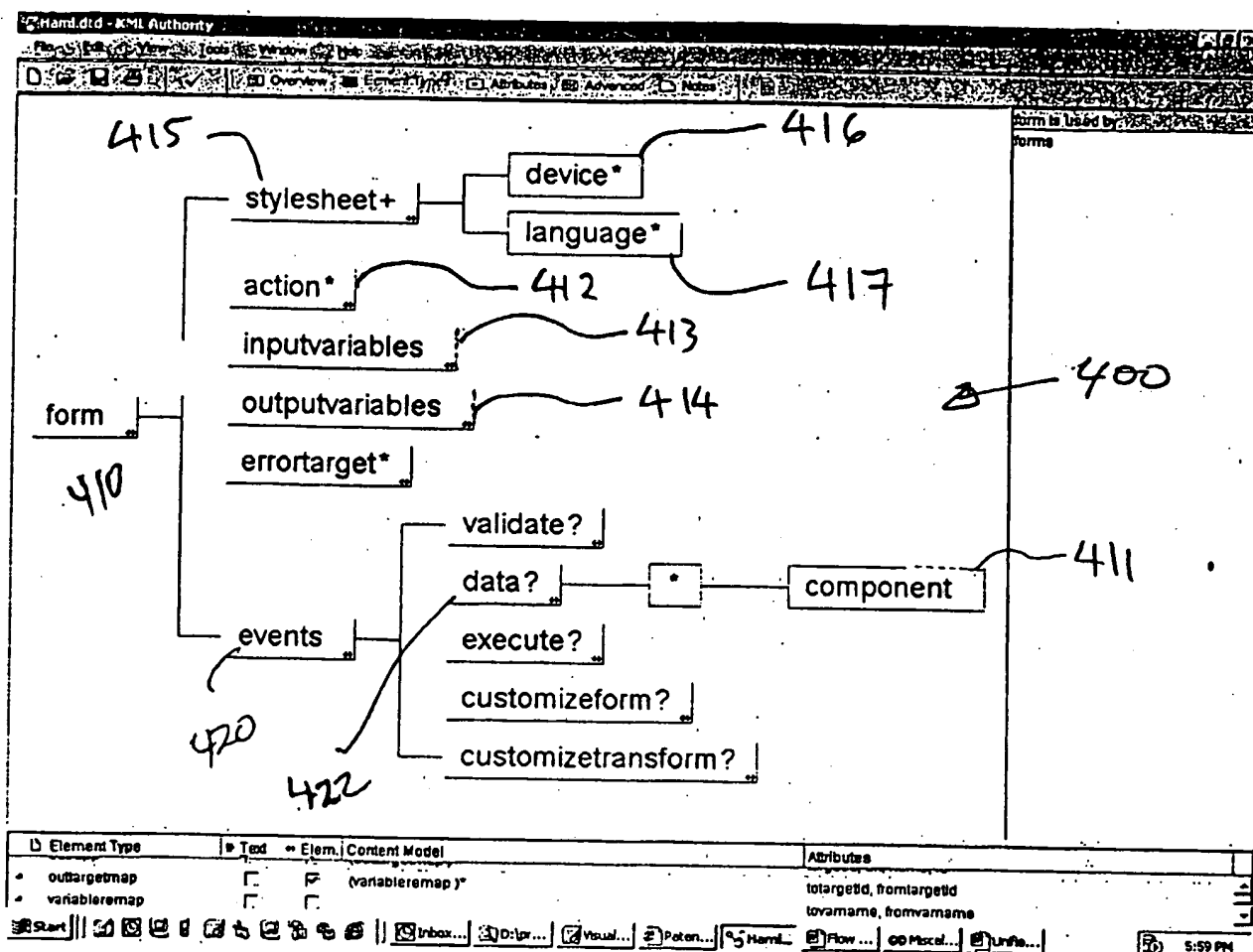


Figure 4

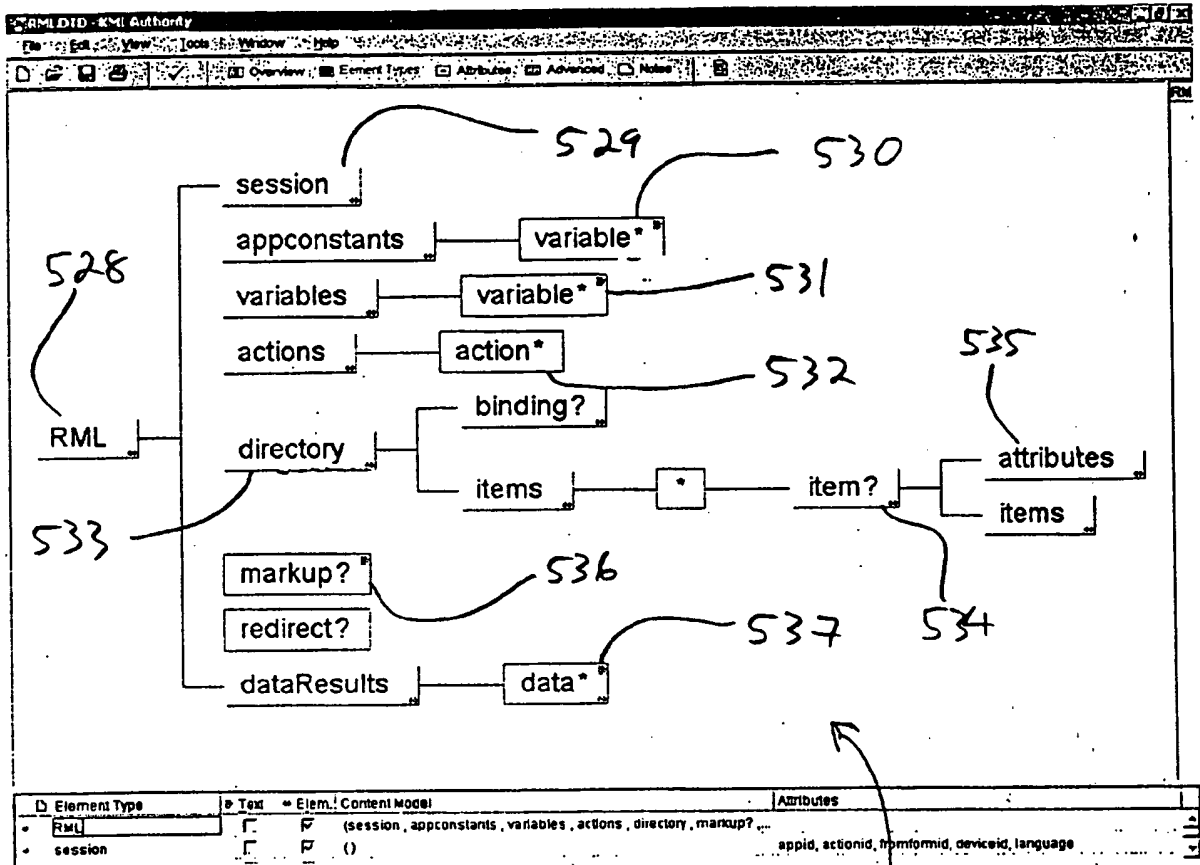


Figure 5

500

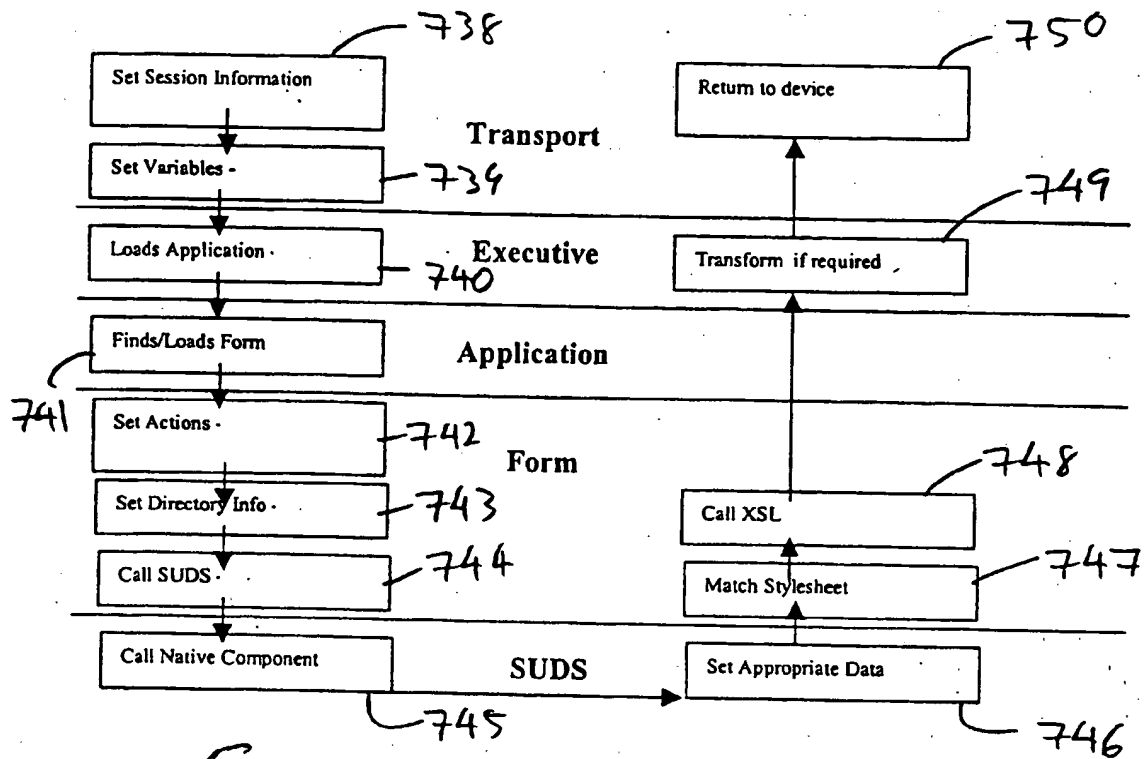


Figure 7

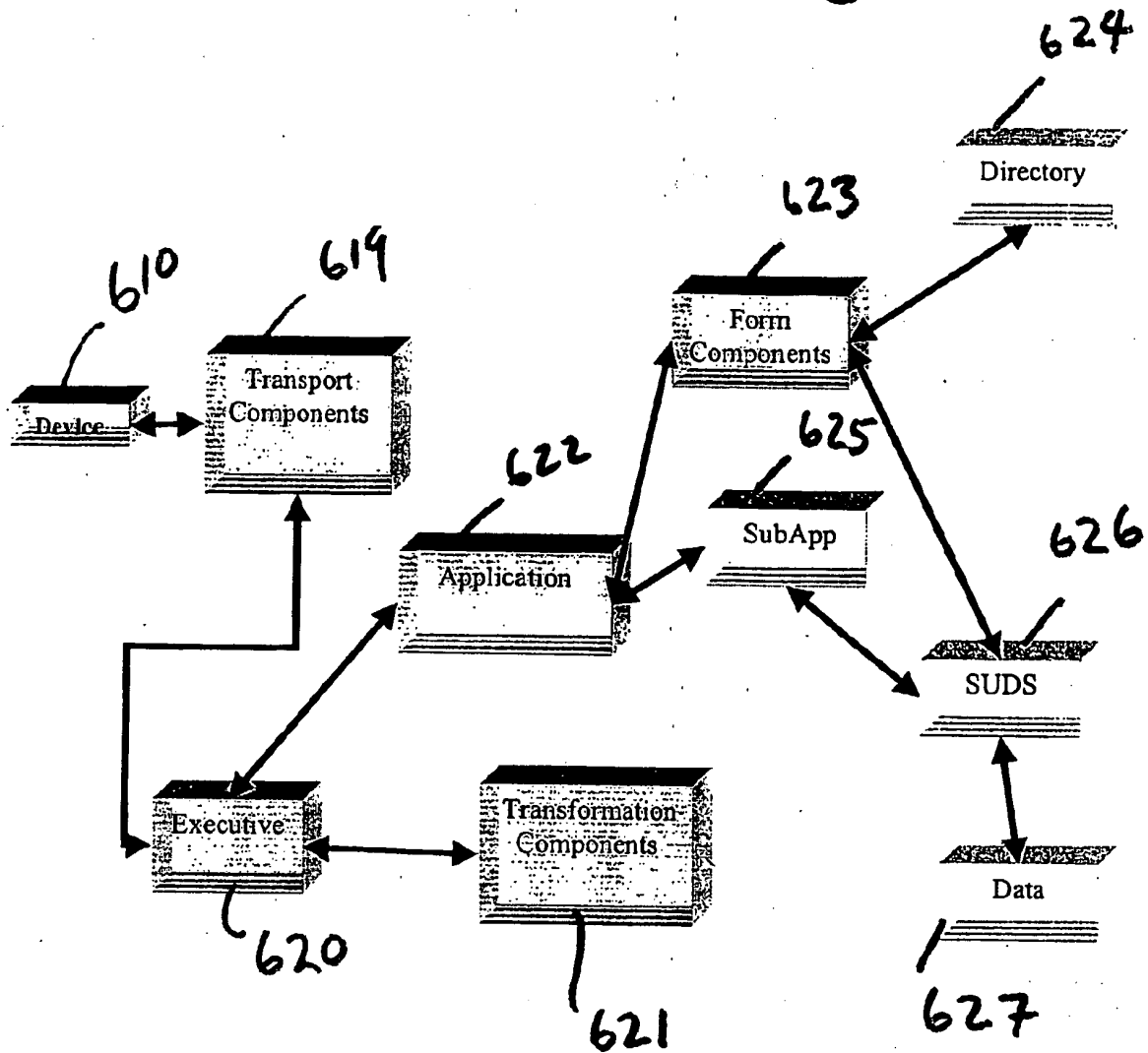


Fig 6

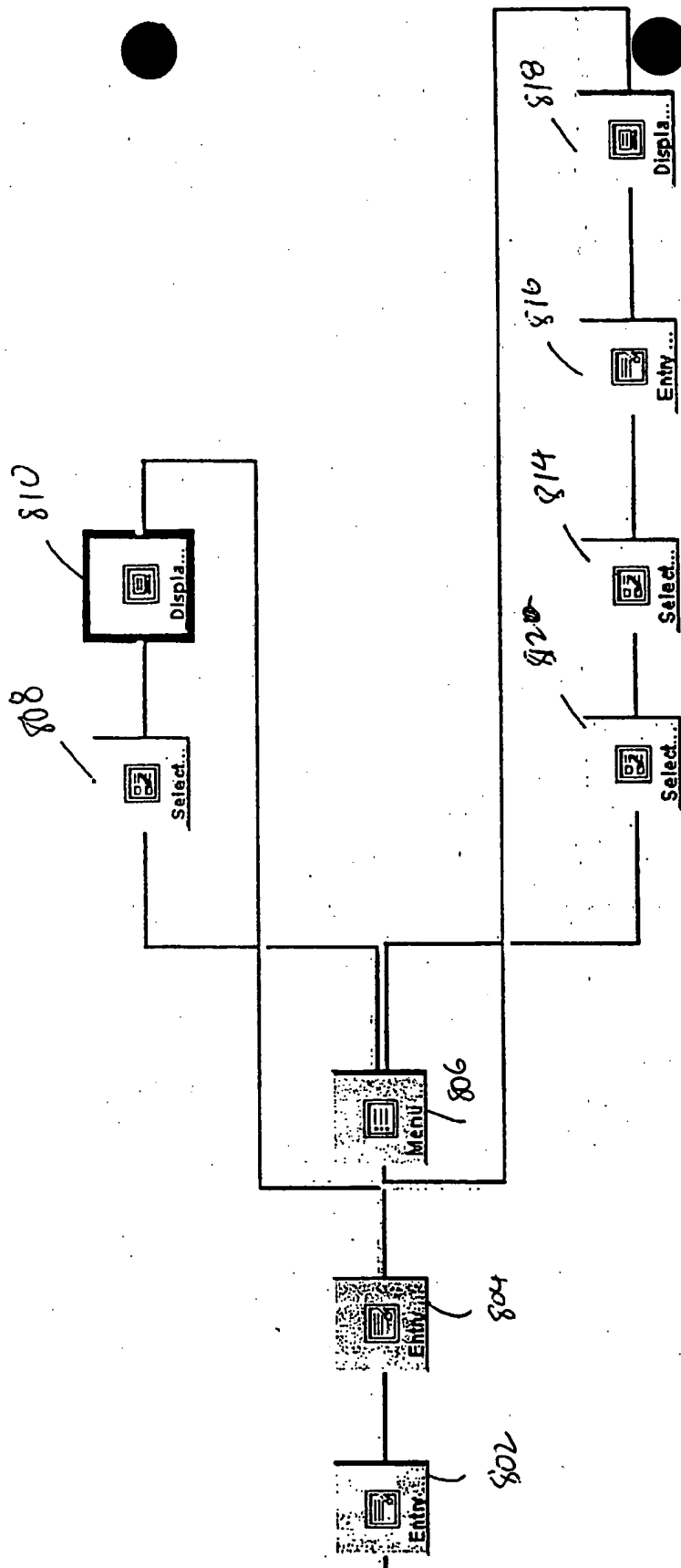


Figure 8(a)

```

<?xml version="1.0" encoding="UTF-8" ?>
- <application usecookies="false" id="{F6681A6F-FB7C-4901-855C-08791DDE8DC8}"
  securevariables="false">
  <description version="1" name="MQBanking" />
  <outtargets />
  <startform totargetid="UserID" />
  <subapps />
  <errortarget totargetid="UserID" />
- <properties>
  <variable default="209.23.23.23" name="ServerIP" />
</properties>
- <sessionvariables>
  <variable name="Session" />
</sessionvariables>
- <forms>
- <form targetid="UserID" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Password" name="OK" />
  <inputvariables />
  <outputvariables>
    <variable name="UserID" />
  </outputvariables>
  <events />
</form>
- <form targetid="Password" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Main" name="OK" />
  <inputvariables>
    <variable name="UserID" />
  </inputvariables>
  <outputvariables>
    <variable name="Session" />
  </outputvariables>
  <events />
</form>
- <form targetid="Main" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Account" name="Account Balance" />
  <action totargetid="Bill Payment" name="Bill Payment" />
  <action totargetid="Transfer" name="Account Transfer" />
  <inputvariables />
  <outputvariables />
  <events />
</form>
- <form targetid="Account" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Details" name="OK" />
  <inputvariables />
  <outputvariables>
    <variable name="AccountID" />
  </outputvariables>

```

Fig 8(6)

```

    <events />
  </form>
- <form targetid="Details" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Main" name="OK" />
  <inputvariables>
    <variable name="AccountID" />
  </inputvariables>
  <outputvariables />
  <events />
</form>
- <form targetid="Bill Payment" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Bill" name="OK" />
  <inputvariables />
  <outputvariables>
    <variable name="AccountID" />
  </outputvariables>
  <events />
</form>
- <form targetid="Bill" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Amount" name="OK" />
  <inputvariables>
    <variable name="AccountID" />
  </inputvariables>
  <outputvariables>
    <variable name="BillID" />
    <variable name="AccountID" />
  </outputvariables>
  <events />
</form>
- <form targetid="Amount" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Confirm" name="OK" />
  <inputvariables>
    <variable name="BillID" />
    <variable name="AccountID" />
  </inputvariables>
  <outputvariables>
    <variable name="AccountID" />
    <variable name="BillID" />
    <variable name="Amount" />
  </outputvariables>
  <events />
</form>
- <form targetid="Confirm" includeheaders="false">
  <stylesheet contenttype="WML11" url="http://www.mobileq.com" />
  <action totargetid="Main" name="Cancel" />
  <inputvariables>
    <variable name="AccountID" />
    <variable name="BillID" />

```

Fig 8(c)

```
<variable name="Amount" />
</inputvariables>
- <outputvariables>
  <variable name="AccountID" />
  <variable name="BillID" />
  <variable name="Amount" />
</outputvariables>
<events />
</form>
</forms>
<errors />
<events />
</application>
```

Fig 8(d)

```

- <xml>
- <dataresults>
- <data name="source1">
- <RECORDS>
- <RECORD>
  <Blair PK="1">172-32-1176</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>White</LastName>
  <FirstName>Johnson</FirstName>
</RECORD>
- <RECORD>
  <Blair PK="1">213-46-891</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Green</LastName>
  <FirstName>Marjorie</FirstName>
</RECORD>
- <RECORD>
  <Blair PK="1">238-95-7766</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
- <a>
- <RECORD>
  <Blair PK="1">238-95-7766</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
</a>
- <a>
- <b>
- <RECORD>
  <Blair PK="1">238-95-7766</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
</b>
</a>
</RECORDS>

```

Fig. 9(a)

```

</data>
- <data name="source2">
- <RECORDS>
- <RECORD>
  <Blair PK="1">aaaaaa</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>White</LastName>
  <FirstName>Johnson</FirstName>
</RECORD>
- <RECORD>
  <Blair PK="1">bbbbbbb</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Green</LastName>
  <FirstName>Marjorie</FirstName>
</RECORD>
- <RECORD>
  <Blair PK="1">cccccc</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
- <a>
- <RECORD>
  <Blair PK="1">ddddddd</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
</a>
- <a>
- <b>
- <RECORD>
  <Blair PK="1">eeeeeeee</Blair>
  <Test1 PK="1">1</Test1>
  <Test2 PK="1">2</Test2>
  <Test3 PK="1">3</Test3>
  <LastName>Carson</LastName>
  <FirstName>Cheryl</FirstName>
</RECORD>
</b>
</a>
</RECORDS>
</data>
</dataresults>

```

Fig. 9(b)


```
<actions>
  <action formatstring="" actionid="OK" querystring="?
    _SQRSIGN=A&_SQAPPID={B77444A1-BD9E-4248-A664-
    6C483F8B4540}&_ACTIONID=OK&_SQFORMID={8D7B4336-6341-
    4D2C-B2D8-49FE88D1B13A}&EmployeeID=$EmployeeID" />
</actions>
<dictionary>
  <variable />
  <variable />
</dictionary>
</rml>
```

fy9(c)

```

- <xsl:stylesheet xmlns:xsl="http://www.w3.org/TR/WD-xsl">
- <xsl:template match="/">
- <wml>
- <head>
  <meta name="FormType" content="SQSelectForm" />
  <meta name="ListMethod" content="numeric" />
</head>
- <card>
  <xsl:attribute name="title">qwe</xsl:attribute>
- <p>
  - <select>
    <xsl:attribute name="title">qwe</xsl:attribute>
    <xsl:attribute name="name">asd</xsl:attribute>
    - <xsl:for-each select="/rml/dataresults/data
      [@name='source2']//RECORD">
      - <option>
        - <xsl:attribute name="value">
          <xsl:value-of select="Blair" />
          z1z
          <xsl:value-of select="Test1" />
          z1z
          <xsl:value-of select="Test2" />
          z1z
          <xsl:value-of select="Test3" />
        </xsl:attribute>
        - <xsl:attribute name="title">
          <xsl:value-of select="LastName" />
          ,
          <xsl:value-of select="FirstName" />
          ,
          <xsl:value-of select="/rml/data
            [@name='source1']/RECORDS/RECORD/Blair" />
        </xsl:attribute>
        blah blah blah
      </option>
    </xsl:for-each>
  </select>
</p>
- <xsl:for-each select="/rml/actions//action">
- <do>
  <xsl:attribute name="type">accept</xsl:attribute>
  - <xsl:attribute name="label">
    <xsl:value-of select="@actionid" />
  </xsl:attribute>
  - <go>
    - <xsl:attribute name="href">
      <xsl:value-of select="@querystring" />
    </xsl:attribute>
  </go>
  </do>
</xsl:for-each>
</card>

```

Fig 10(a)

</wml>
</xsl:template>
</xsl:stylesheet>

Fig 10(b).

```
- <wml>
- <head>
  <meta name="FormType" content="SQSelectForm" />
  <meta name="ListMethod" content="numeric" />
</head>
- <card title="Select Account">
  - <p>
    - <select title="Select Account" name="HoldingsAccountID">
      <option value="1" title="Acct 10314">Acct 10314</option>
      <option value="2" title="Acct 11345">Acct 11345</option>
    </select>
    <br />
  </p>
  - <do type="accept" label="OK">
    <go href="?_SQRSIGN=A&_SQAPPID={8D360BDF-E570-45E9-BEC1-
      4E5DD949C5C0}&_ACTIONID=OK&_SQFORMID={BCC091A1-63D4-
      11D3-9E34-0090273EFC3B}
      &HoldingsAccountID=${HoldingsAccountID}
      &UserID=246531&Session=1" />
  </do>
</card>
</wml>
```

Fig- 11

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)